Fourier transform ion cyclotron resonance mass spectrometry and theoretical studies of gas phase  $S_N^2$  nucleophilic substitution reactions at sp<sup>3</sup>-carbon atoms



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Gas phase  $S_N^2$  intramolecular displacements are reported in which neutral nucleophiles displace neutral leaving groups within cationic substrates. In the intramolecular  $S_N^2$  reaction of *N*-(2-piperidinoethyl)-2,4,6-triphenylpyridinium cation (11), the cationic product 12 was detected directly. Intramolecular reactions of *N*-( $\omega$ -aminoalkyl)-5,6,8,9-tetrahydro-7-phenyldibenzo[*c*,*h*]acridinium salts 13a–c, *N*-(5-hydroxypentyl)-5,6,8,9-tetrahydro-7-phenyldibenzo[*c*,*h*]acridinium salt 14 and *N*-( $\omega$ -aminoalkyl)-2,4,6-triphenylpyridinium salts 20a,b afforded the corresponding protonated acridinium cation (15) or pyridinium cation (21) and, presumably, a neutral heterocycle. This interpretation is supported by isotopic labeling control experiments. No evidence has been obtained for any intermolecular gas phase  $S_N^2$  reaction with a pyridine as leaving group; theoretical calculations suggest an explanation for these experimental results.

#### Introduction

One of the most important reactions in organic chemistry is the replacement of a leaving group (X) attached to an aliphatic carbon atom (R) by a nucleophile (Nu) [reaction (1)]. There are

$$Nu + RX \longrightarrow NuR + X$$
(1)

four main charge-type classes of such reactions: the substrate (RX) can be neutral or positively charged whereas the nucleophile (Nu) can be neutral or negatively charged.<sup>1</sup> Ingold recognized the fundamental distinction between a one-step bimolecular  $S_N^2$  reaction of Nu with RX and a two-step process with an initial unimolecular  $S_N^1$  scission of RX into R and X followed by combination of R with Nu.<sup>2</sup>

Most of the classical nucleophilic substitution mechanistic work in solution has been carried out with neutral substrates. For these, an  $S_N 1$  process  $RX \rightarrow R^+ + X^-$  involves the creation of charge in the transition state, a process which is effectively inhibited in all but strongly polar solvents. Such solvents can also behave as nucleophiles; it is often difficult to disentangle whether such a solvent is simply a medium of relative permittivity sufficiently high to allow charge creation, or whether the solvent is behaving as a nucleophile. These conceptual difficulties are avoided for cationic substrates because the charge is then spread in an  $S_N 1$  transition state, rather than created [reaction (2)]. Thus  $S_N 1$ -type reactions of cationic substrates

$$R^+X \longrightarrow R^+ + X \tag{2}$$

are expected to, and do, occur in non-nucleophilic solvents of low relative permittivity. Extensive studies in one of our research groups<sup>1,3</sup> of the behavior of *N*-alkylpyridinium cations as substrates for nucleophilic substitution over the period of 1978–1990 shed much light on their detailed mechanisms, successfully demonstrating four different mechanisms (*i*) classical  $S_N1$ , (*ii*) Winstein  $S_N1$ , (*iii*) classical  $S_N2$  and (*iv*) Sneen  $S_N2$  routes. It was shown that these four mechanisms all remain distinct at borderlines with no merging.

## Previous work in the gas phase

Most of the published gas-phase work on nucleophilic substitution reactions has been carried out with neutral substrates; more specifically it has often involved halide anions displacing a similar moiety in alkyl halides. On the basis of both experimental and theoretical work, Brauman and co-workers demonstrated<sup>4</sup> that such gas-phase S<sub>N</sub>2 reactions proceed over a potential energy surface with double-minima separated by a barrier, and that the energy of the reactants is higher than that of this transition state. The two minima correspond to iondipole complexes that form as stable intermediates on either side of the transition state, e.g. Cl<sup>-</sup>(CH<sub>3</sub>Br) and (ClCH<sub>3</sub>)Br<sup>-</sup> in the case of the Cl<sup>-</sup>/CH<sub>3</sub>Br reaction. The formation and isolation of stable intermediates in the gas-phase substitution reactions and the activation of those intermediates to form products,5 strongly support this proposition. So far, most of the other S<sub>N</sub>2 reactions reported have also involved anionic nucleophiles with neutral substrates.6 Clearly, S<sub>N</sub>1 reactions of neutral substrates cannot normally be studied by mass spectrometric techniques.

Previous studies of intermolecular  $S_N 2$  reactions between a neutral nucleophile and a cationic substrate are quite limited. A gas phase nucleophilic substitution on an allylic substrate bearing a leaving group (oxygen-protonated but-1-en-3-ol and *trans*-but-2-en-1-ol ) with methanol<sup>7a</sup> was believed to proceed *via* the concerted  $S_N 2'$  mechanism in competition with the classical  $S_N 2$  mechanism. The attack of glycine on a dimethylchloronium cation was shown by mass spectrometry<sup>7b,c</sup> to result in methyl cation transfer, but no direct evidence was presented for an  $S_N 2$  pathway. Some  $S_N 2$  methyl transfer was considered to occur for the diethylmethyloxonium ion with amine nucleophiles.<sup>7d</sup> Several studies on the fragmentation behavior of bifunctional compounds<sup>8</sup> have reported enhanced fragmentation peaks from the loss of small neutral molecules (*e.g.* NH<sub>3</sub>,

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 $H_2O$ )<sup>8b-i</sup> which was postulated to have involved intramolecular  $S_N2$  fragmentation.<sup>8a</sup> However, no detailed study on appearance potential energies has been reported for any of these systems.

Some years ago our research groups reported on the collisionally activated dissociation (CAD) of *N*-alkylpyridinium cations in the gas phase.<sup>9</sup> We demonstrated processes  $(Py^+-R\rightarrow Py \cdots R^+ \rightarrow products)$  of two main types: (*i*) to give products Py and R<sup>+</sup> in which rearrangement of the carbocation R<sup>+</sup> frequently occurred within the intermediate ion-molecule complex and (*ii*) to give products PyH<sup>+</sup> and the olefin formed by proton abstraction from R<sup>+</sup> in the ion-molecule complex. We now wish to report the extension of this work to an investigation of S<sub>N</sub>2 processes.

#### **Results and discussion**

Our general strategy was to study gas phase  $S_N^2$  reactions which involve the reaction of neutral nucleophiles and cationic substrates containing neutral leaving groups. Considerable effort has been directed towards generating the  $S_N^2$  reactions *via* both intramolecular and intermolecular pathways.

### Mass spectrometry techniques

In this work, we utilize Fourier transform ion cyclotron resonance mass spectrometry (FTICRMS) to study substitution reactions between cationic pyridinium substrates and neutral nucleophiles. However, while laser desorption ionization was used in the earlier studies involving reactions of *N*-benzylpyridinium and pyridine, electrospray ionization (ESI) has since been used in other studies to introduce the cationic substrates. ESI is a 'softer' ionization technique than laser desorption and has proven to be an excellent method for introducing intact pyridinium ions into the gas phase.<sup>10</sup>

## Ion-molecule reactions in the N-benzylpyridinium-pyridine system

This study was initiated with the expectation that a benzyl ion would be transferred in bimolecular reactions from *N*-benzylpyridinium **1a** (Py<sup>+</sup>Bz) and *N*-benzyl-4-dimethylaminopyridinium **1b** (4-Me<sub>2</sub>NPy<sup>+</sup>Bz) cations to various neutrals such as pyridine (Py), 4-ethylpyridine (4-EtPy), 4-dimethylaminopyridine (4-Me<sub>2</sub>NPy) and quinoline (Q). Laser desorption of Py<sup>+</sup>Bz·ClO<sub>4</sub><sup>-</sup> and 4-Me<sub>2</sub>NPy<sup>+</sup>Bz·ClO<sub>4</sub><sup>-</sup> resulted in intact molecular ions Py<sup>+</sup>Bz (**1a**) and 4-Me<sub>2</sub>NPy<sup>+</sup>Bz (**1b**) at m/z = 170and 213, respectively, and also in a fragment ion at m/z = 91, *i.e.* benzyl cation **2** (or tropylium) (Scheme 1, schemes describing



gas phase reactions do not show the anion). No ions resulting from fragmentation of the pyridine ring were observed.

We formed the *N*-benzylpyridinium cations by laser desorption, as described in the Experimental section, and admitted either Py, 4-EtPy, 4-Me<sub>2</sub>NPy or Q directly into the analyzer region using a precision leak valve until the pressure reached a value of  $3 \times 10^{-6}$  Torr (argon + neutral gas pressure). An example of ion intensities observed as a function of time after the ion formation laser pulse, when 4-EtPy was the neutral



**Fig. 1** Plot of the normalized ion abundance *vs.* the reaction time for the reaction of  $Py^+Bz$  (**1a**) (m/z 170) and 4-EtPy to form benzyl ion (**2**) (m/z 91) and 4-EtPy^+Bz (**3b**) (m/z 198)

reactant, is given in Fig. 1. The kinetic behavior seen there is consistent with very rapid dissociation of the Py<sup>+</sup>Bz (m/z = 170) to form benzyl ion 2 (m/z = 91), followed by somewhat slower addition of the benzyl cations to 4-EtPy to form 4-EtPy<sup>+</sup>Bz (**3b**, m/z = 198).

When benzyl ions were continually ejected from the analyzer cell during the reaction period, no products were observed. Attempts to induce a bimolecular benzyl-transfer reaction by adding kinetic energy to reactant  $Py^+Bz$  resulted in product ion formation. However, ejection of m/z = 91 benzyl ions during this reaction process again resulted in no product formation. Thus, we conclude that 'heating' the parent ions by adding kinetic energy only served to further fragment them *via* the CAD process, forming benzyl ions, which then reacted as previously documented<sup>11</sup> to form product ions. (When parent  $Py^+Bz$  was completely ejected from the analyzer cell immediately following laser desorption/ionization, no reaction was observed.)

Thus, no direct bimolecular reactions were observed between  $Py^+Bz$  (1a) and  $4-Me_2NPy^+Bz$  (1b) cations and Py, 4-EtPy and Q neutrals (Scheme 1). Evidently in each case, unimolecular fragmentation of the parent cation gave benzyl cation 2 (m/z = 91), which then added to the neutrals to give  $Py^+Bz$  3a (m/z = 170), 4-EtPy<sup>+</sup>Bz 3b (m/z = 198), 4-Me<sub>2</sub>NPy<sup>+</sup>Bz 3c (m/z = 213) and Q<sup>+</sup>Bz (m/z = 220) cations. Transfer of benzyl cation from  $Py^+Bz$  to 4-Me<sub>2</sub>NPy should be exothermic ( $\Delta H = -16$  kcal mol<sup>-1</sup>, the heats of dissociation,  $\Delta \Delta_r H$ , of the  $Py^+Bz^{9a}$  and 4-Me<sub>2</sub>NPy <sup>+</sup>Bz<sup>12</sup> are 41 and 57 kcal mol<sup>-1</sup>, respectively), since 4-Me<sub>2</sub>NPy is more basic than Py. These results suggest the presence of a barrier to ion–molecule reactions between 4-Me<sub>2</sub>NPy<sup>+</sup>Bz and Py, 4-EtPy and Q.

#### Intramolecular S<sub>N</sub>2 reactions

*N*-Substituted pyridinium salts have been shown to be useful synthetic intermediates,<sup>13</sup> in addition to their applications in mechanistic studies of nucleophilic substitution reactions at sp<sup>3</sup>-hybridized carbon.<sup>1,3</sup> Previous work in our groups has also demonstrated that these pyridinium compounds can be examined very effectively using FTICR mass spectrometry.<sup>9,14</sup> We selected a series of *N*-[ $\omega$ -amino-(or  $\omega$ -hydroxy-)alkyl]-pyridinium salts as substrates for the investigation of intramolecular S<sub>N</sub>2 reactions.

Reactions of N-[ $\omega$ -amino-(or  $\omega$ -hydroxy-)alkyl]pyridinium salts in the solution phase have been reported:<sup>15</sup> pyridinium compounds derived from amino alcohols cyclize to form ethers (Scheme 2) or rearrange to aldehydes upon heating. Particularly interesting results came from the pyridinium salt **5** made from 5-aminopentanol. It was found to cyclize to give tetrahydropyran **6** *via* intramolecular nucleophilic attack by the oxygen. Moreover, derivatives **9** of *N*-( $\omega$ -aminoalkyl)pyridinium salts **8** are known to cyclize on heating to give heterocyclic compounds **10**. These results encouraged us to reinvestigate these reactions in the gas phase, with the intent of generating an intramolecular S<sub>N</sub>2 reaction.



**Fragmentation pathways.** Two distinct fragmentation pathways were observed in preliminary studies. The first is observed for **11a** and **11b**, it involves scission of the bond between the pyridinium substituted carbon and the pyridinium nitrogen producing a charged heterocyclic compound **12** and, presumably, a neutral substituted pyridine, which could not be detected by mass spectral means [reaction (3)]. The second is observed



for **13a–c** and **14**, it also involves a similar C–N bond scission, but produces a protonated pyridinium cation **15** and another neutral product (Scheme 3).

When *N*-(2-piperidinoethyl)-2,4,6-triphenylpyridinium cation (**11a**) was trapped in the FTICR analyzer cell, two types of ion were detected after a delay period: the parent ion **11a** (m/z = 419) and product ion at m/z = 112, formed from the fragmentation of non-thermalized ion **11a** over several seconds in 10% yield [reaction (3)]. The structure of the product ion (m/z = 112) is assumed to be cyclic compound **12a**, which is thermodynamically the most stable product. When the isolated precusor ion was energized translationally *via* CAD by 5 kcal mol<sup>-1</sup> over 10 µs, the reaction proceeded 100% to the product ion (**12a**). We estimate the internal energy of the unenergized parent ions to be approximately 23 kcal mol<sup>-1</sup> (1.0 eV) based on the previously reported results.<sup>9b</sup> Similarly, when the CAD experiment was performed on *N*-[2-(diethylamino)ethyl]-2,4,6-



trimethylpyridinium cation 11b, the fragmentation of the parent cation 11b was observed to give cation 12b at m/z = 100. Again, the appearance potential energy was unmeasurably low. It is very clear that products m/z = 112 and 100, assumed to be the three-membered ring charged heterocycles (12a,b), are produced from intramolecular nucleophilic displacements of the neutral nitrogen on the side-chain  $\alpha$ -carbon atom, *i.e.* compounds 11a,b undergo intramolecular S<sub>N</sub>2 reactions in the gas phase.

The three N-(ω-aminoalkyl)-5,6,8,9-tetrahydro-7-phenyldibenzo[c,h]acridinium salts 13a-c, and N-(5-hydroxypentyl)-5,6,8,9-tetrahydro-7-phenyldibenzo[c,h]acridinium salt 14 were each subjected to the same experimental conditions as used for 11a-c. In each case, only the protonated acridinium cation 15 (m/z = 360) and remaining unreacted parent ion were detected in the analyzer cell, as in Scheme 3. Cation 15 could be formed by two different routes. The first involves the dissociation of Nalkylacridinium cations to acridinium cation and olefins as reported in our previous work (Scheme 3, route a).96 Another path, considered more probable under the present conditions, involves an intramolecular nucleophilic attack of the exocyclic N or O atom on the side-chain α-carbon atom affording an ionmolecule pair of the corresponding cation 18 and acridine 17 (Scheme 3, route b), followed by fast proton transfer from cation 18 to substituted acridine to form the protonated acridinium cation 15. No signal due to the cation 18 could be detected in our experiments; ion-molecule pair (17.18) has the same m/zas the corresponding 13 or 14 and it is reasonable to assume that transfer of the proton in 17.18 to give 15 and 19 is faster than dissociation of 17.18. N-(ω-Aminoalkyl)-2,4,6-triphenylpyridinium salts 20a,b as starting materials behaved similarly giving 21 and 19 [reaction (4)]. Attempts to measure the exact appearance potential energies for these reactions were unsuccessful as the required activation energy is quite low: their appearance potential energies were estimated in all these cases to be less than 23 kcal mol<sup>-1</sup>

We failed to prepare N-[4-(dialkylamino)butyl]-2,4,6-triphenylpyridinium tetrafluoroborate (23), which was expected to



undergo  $S_N 2$  intramolecular reactions in the gas phase analogous to those of compounds **11a,b**. Reactions of triphenylpyrylium salt (**22**) and *N*,*N*-dialkylbutane-1,4-diamines in CH<sub>2</sub>Cl<sub>2</sub> gave only 2,4,6-triphenylpyridine and dialkylpyrrolidinium salts **24**, evidently formed from spontaneous intramolecular  $S_N 2$  reactions in the solution phase *via* the expected pyridinium salts **23** (Scheme 4).



Scheme 4 (Solution phase)

In the gas phase, N-[3-(dimethylamino)propyl]-2,4,6-triphenylpyridinium cation (**25**) showed a different fragmentation pathway (Scheme 5). After the parent ion at m/z = 393 was isol-



ated and energized, a daughter ion at m/z = 348 was observed as the only product. We propose *N*-cyclopropyl-2,4,6triphenylpyridinium cation (27) as the structure of this product, formed as shown in Scheme 5. Because of the unfavorable four-membered transition state for intramolecular  $S_N 2$  attack, the  $\alpha$ -proton of the pyridinium ion was transferred to the  $\omega$ -amino group *via* a [1,4] proton shift process with a fivemembered transition state. Then, the newly formed carbanion center in **26** attacked the  $\gamma$ -carbon, which is now attached to a protonated dimethylammonium as the leaving group. The whole process produced the *N*-cyclopropylpyridinium cation **27** with m/z = 348.

**Control experiments.** The deuteroxy analog **28** of salt **14** was studied under the experimental conditions described above to ascertain the source of the proton transferred in the aforementioned acridinium reaction (Scheme 6). If the reaction pathway followed route *a*, a proton should be transferred from the  $\beta$ -CH<sub>2</sub> group to give **15**,<sup>9b</sup> but if the reaction proceeds *via* 



Fig. 2 ESI spectrum showing the control experiments for identifying the source of the proton transfer, 28: deuteroxy analog of salt 14; 29: deuterated product ion; 14, 15: protic impurities

route *b*, a deuteron should be transferred from the deuteroxy group of the starting acridinium salt **28** to give **29**. The parent ion **28** (containing 27% protic impurity) was allowed to react in the analyzer cell during a 1 second delay. Following detection, it was observed that the reaction afforded product **29** with a 40% yield (Fig. 2). The slight increase in protic impurity in going from reactant to product (6% increase) was probably due to deuterium scrambling. The product forms from non-thermalized ions since no translational heating *via* radio-frequency (RF) excitation was detected when longer reaction delays were used.

The second control experiment was designed to demonstrate the significantly different appearance potential energy that would be expected if the reaction had proceeded by route *a* in Scheme 3. *N*-Butyl-5,6,8,9-tetrahydro-7-phenyldibenzo[*c*,*h*]acridinium salt **30** gave **15** as the sole fragment ion (m/z = 360) which can only arise by route *a* [reaction (5)] as no route *b* is



available. The appearance potential energy of **30** was measured to be 41.4 kcal mol<sup>-1</sup> under the same CAD experimental conditions (Fig. 3, threshold fragmentation energy). This is very similar to the appearance potentials (38 to 62 kcal mol<sup>-1</sup>) previously reported for *N*-alkyl substituted pyridinium salts (Table 1),<sup>96</sup> but significantly different from those of  $\omega$ -amino- or hydroxyalkyl substituted pyridinium or acridinium salts. This result supports the conclusion that the pyridinium and acridinium salts **13a–c**, **14**, **20a,b** in the gas phase undergo intramolecular (S<sub>N</sub>2) nucleophilic substitution reactions as shown in reaction (3) and route *b* of Scheme 3.

Further attempted gas phase bimolecular  $S_N 2$  reactions of neutral nucleophiles with cationic substrates. Various *N*-methyl cations 32–35 introduced into the gas phase *via* electrospray



FTICR mass spectrometry were reacted with some neutral nucleophiles as summarized in Table 2. No evidence for gas phase  $S_N 2$  reactions was found for any of the systems studied. The 1-methylpyridinium cation (**32a**) undergoes proton transfer with several nucleophiles. With 4-dimethylaminopyridine (DMAP) **32a** forms the ion at m/z = 200, probably *via* loss of methane from *N*-(4-picolinyl)-4-dimethylaminopyridinium cation.



Fig. 3 Plot of the kinetic energy center-of-mass vs. the percent fragmentation for the dissociation reaction of pyridinium cation 30

Table 1Threshold energies (kcal  $mol^{-1}$ ) for fragmentation for 1-<br/>substituted pyridinium cations (30 and 32b-e) to protonated pyridine<br/>and olefin

Compounds	30	32b	32c	32d	32e
Appearance energy of <b>15</b> or Pv <sup>+</sup> H	41.4	62 <i>ª</i>	47 <i>ª</i>	45 <i>ª</i>	38 <i>ª</i>

<sup>a</sup> Results from ref. 9b.

In the presence of 1-methylimidazole and tetramethylthiourea, no  $S_N^2$  reactions were observed for 1-methylpyrazinium cation (33). N-Methyl-5,6,8,9-tetrahydro-7-phenyldibenzo-[*c*,*h*]acridinium cation (34) is known to undergo  $S_N^2$  chemistry in solution;<sup>3b</sup> however, no  $S_N^2$  reactions were observed in the gas phase for 34 when DMAP, 1-methylimidazole, tetramethylthiourea or triphenylphosphine were used as the nucleophiles. Furthermore, only proton transfer and no  $S_N^2$  reactions were observed for the dimethylviologen dication (35) when DMAP and 1-methylimidazole were used as nucleophiles.

An ion-dipole complex at m/z = 225 was observed in high yield in the reaction between trimethylsulfoxonium cation (36) with tetramethylthiourea (Fig. 4), which demonstrates some interaction between the nucleophile and the substrate. Unfortunately, the ion-dipole complex could not be driven to the substitution products using RF excitation. The presence of a barrier on the potential energy surface probably prevents the  $S_N2$  reaction and any excitation simply results in the conversion of complex back to reactants.

#### Theoretical study

The theoretical study of different  $S_N^2$  reactions has been of substantial interest for many years. Recent reviews by Shaik *et al.*<sup>16a</sup> and Minkin *et al.*<sup>16b</sup> give an overview of the methods used and also of the work done to elucidate the reaction mechanisms. Despite the many studies of the mechanism of  $S_N^2$  reactions, they continue to be an area of extensive current interest.<sup>17</sup>

The object of study. The theoretical investigation of intramolecular  $S_N 2$  reactions in the gas phase was performed on the basis of experimentally observed intramolecular nucleophilic substitution of the *N*-( $\omega$ -aminopropyl)-2,4,6-triphenylpyridinium cation (20b). Due to the size of that molecule, the three phenyl substituents in the pyridine ring were excluded and therefore, *N*-( $\omega$ -aminopropyl)pyridinium cation [37, reaction (6)] was studied as a model compound for the intramolecular  $S_N 2$  reaction. The intramolecular reaction was compared with the analogous intermolecular  $S_N 2$  reaction between the *N*-



Fig. 4 ESI spectrum showing the complex 36-Me<sub>2</sub>NC(S)NMe<sub>2</sub> (m/z = 225) formed from the reaction between trimethylsulfoxonium cation 36 (m/z 93) and tetramethylthiourea

Table 2	Attempted	intermolecular	reactions i	n the g	as phase	using	FTICRMS
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Substrate/Nucleophile	<i>N</i> , <i>N</i> -Dimethyl aminopyridine	1-Methyl imidazole	Tetramethyl thiourea	Piperidine	Triphenyl phosphine
<b>32a</b> ( <i>m</i> / <i>z</i> 94)	Nucleophilic aromatic substitution ( <i>m</i> /z 123, 200)	Protonation of nucleophile ( <i>m</i> / <i>z</i> 83)	Protonation of nucleophile ( <i>m</i> / <i>z</i> 133)	_	No reaction
<b>33</b> ( <i>m</i> / <i>z</i> 95)		No reaction	No reaction		_
<b>34</b> ( <i>m</i> / <i>z</i> 374)	No reaction	No reaction	No reaction	_	_
<b>35</b> ( <i>m</i> / <i>z</i> 93, <i>z</i> = 2)	Protonation of nucleophile ( <i>m</i> / <i>z</i> 123, 185)	Protonation of nucleophile ( <i>m</i> / <i>z</i> 83)	—		_
<b>36</b> ( <i>m</i> / <i>z</i> 93)			Ion-induced dipole complex ( <i>m</i> /z 225)	_	_

Table 3 AM1, PM3 and *ab initio* SCF calculated energies and the AM1 and PM3 calculated Gibbs activation free energies for the intra- (IA) and inter-molecular (IE) nucleophilic substitution reactions [reactions (6) and (7)]

	Intramole	Intramolecular reaction					Intermolecular reaction					
	Reactants	TS	Products	$E_{a}{}^{a}$	$\Delta E^{b}$	$\Delta G^{\ddagger}_{(\mathrm{IA})}{}^{c}$	Reactants	TS	Products	$E_{a}{}^{a}$	$\Delta E^{b}$	$\Delta G^{\ddagger}_{(\mathrm{IE})}{}^{c}$
$\Delta_{\rm f} H({\rm AM1})$ (kcal mol <sup>-1</sup> )	173.0	222.6	188.1	49.7	15.1	49.0	157.2	199.0	148.0	41.8	-9.2	46.5
$\Delta_{\rm f} H ({\rm PM3})$ (kcal mol <sup>-1</sup> )	176.2	215.4	177.9	39.3	1.7	39.8	156.7	201.1	150.0	44.5	-6.7	47.5
HF/3-21G (Hartree) <sup>d</sup>	-416.8829	-416.8310	-416.8691	32.6	8.6	—	-418.0609	-418.0059	-418.0676	34.5	-4.2	—
$\frac{MP2/3-21G^{e}}{(Hartree)^{d}}$	-417.7914	-417.7476	-417.7836	27.4	4.8	—	-418.9820	-418.9332	-418.9934	30.6	-7.1	_

 ${}^{a}E_{a} = \Delta_{f}H(TS) - \Delta_{f}H(Reactant)$  in kcal mol<sup>-1</sup>.  ${}^{b}\Delta E = \Delta_{f}H(Product) - \Delta_{f}H(Reactant)$  in kcal mol<sup>-1</sup>.  ${}^{c}$  Calculated with eqns. (9) and (10), in kcal mol<sup>-1</sup>.  ${}^{d}1$  Hartree = 627.5 kcal mol<sup>-1</sup>.  ${}^{e}Single$  point MP2/3-21G\*//HF/3-21G\* calculation.



propylpyridinium cation (**39**) and free ammonia [reaction (7)]. The results of semiempirical AM1 and PM3 calculations suggested that ammonia is an adequate model compound for the description of the intermolecular  $S_N2$  reaction. These results indicated that CH<sub>3</sub>NH<sub>2</sub>, a primary amine, as base has only a slightly higher value of the activation energy and reaction energy than does ammonia (2–3 kcal mol<sup>-1</sup> calculated from AM1 and 2–5 kcal mol<sup>-1</sup> calculated from PM3).

Computational method. Due to the size of the system, the quantum chemical semiempirical approach implemented in the MOPAC 6.0<sup>18</sup> program package was chosen for this study. The stationary points on the potential energy surfaces were calculated without applying any geometry constraints and using both AM1<sup>19</sup> and PM3<sup>20</sup> semiempirical parametrizations. The starting geometries of the reactants were defined proceeding from standard bond lengths and angles. Approximate transition state geometries were found by a grid scan of the potential energy surface and/or using the saddle point search method. The final geometries were optimized using the eigenvector following algorithm.<sup>21</sup> A gradient norm less than 0.01 kcal Å<sup>-1</sup> was requested for all geometrical parameters in all calculations. The vibrational frequencies were calculated for all stationary points to verify the existence of minima and of true transition states (corresponding to a single negative eigenvalue of the Hessian). Finally, the intrinsic reaction coordinate method was employed to verify the existence of only one transition state between the two minima, corresponding to the reactant complex and the product complex of the reaction, respectively. This search started from the transition state and proceeded towards each of the minima. Ab initio calculations were also performed using the GAUSSIAN94<sup>22</sup> program package up to the MP2/3-21G\*//HF/3-21G\* level of theory.

**Potential energy surfaces.** The semiempirical calculations using AM1 and PM3 parametrizations lead to substantially different results. Whereas the calculated heats of formation (Table 3) of the stationary points for the intermolecular reaction were found to be very similar, with the PM3 activation energy 2.6 kcal mol<sup>-1</sup> higher than the AM1 activation energy, the PM3 calculated heat of formation of the transition state for the intramolecular reaction was found to be substantially lower (7.2 kcal mol<sup>-1</sup>) than that calculated using the AM1 parametriz-



Fig. 5 Geometries of the transition state for the intra- and intermolecular reactions with bond lengths for N1–C7 and N10–C7 bonds in Å

ation (Table 3). Accordingly, the PM3 calculated activation energy of the intramolecular reaction is lower by 10.4 kcal mol<sup>-1</sup> as compared to the AM1 result. As a result of this difference, the AM1 parametrization gives a higher activation energy (by about 7.8 kcal mol<sup>-1</sup>) for the intramolecular reaction than that for the bimolecular  $S_N^2$  reaction, while the PM3 method predicts lower activation energy (by about 5.2 kcal mol<sup>-1</sup>) for the intramolecular reaction.

The geometries of transition states (Table 4 and Fig. 5) calculated with semiempirical and *ab initio* methods are quite similar. The main difference in AM1 and PM3 geometries can be detected in the distances between the atoms along the chosen reaction coordinate (C7–N1 and N10–C7 in Table 4). The distances obtained from AM1 are overestimated, whereas the *ab initio* distances between the atoms along the reaction coordinate are between AM1 and PM3 results.

It is well known that semiempirical parametrizations overestimate the activation energies of intramolecular reactions<sup>23</sup> and, therefore, a direct comparison with the results for the intermolecular reactions is not fully justified. However, the semiempirical techniques usually give comparable trends of the activation barriers for a series of similar reactions. Calculations at the *ab initio* level of quantum theory are expected to give estimates of activation energies closer to the experimental data. Already small basis set ab initio SCF calculations (HF/3-21G) give significantly lower activation energy (32.6 kcal  $mol^{-1}$ ) for the intramolecular reaction (Table 3) than the respective semiempirical treatments. The PM3 calculated activation energy is closer to the ab initio energy, but still substantially higher than the latter. The single point calculations using second order Møller-Plesset perturbation theory (MP2) further decrease the activation energy (to 27.4 kcal mol<sup>-1</sup>). Both the Hartree–Fock and MP2 calculated activation energies for the intermolecular reaction are higher than the calculated activation energies for the respective intramolecular reaction (Table 3). The MP2 activation energy is already close to the experimentally proposed appearance energy estimated to be less than 23 kcal mol<sup>-1</sup>. An application of more extended basis sets and higher level of theory is expected to further reduce the activation energy.<sup>24</sup> Such calculations on these systems would be expensive and unlikely to change our conclusions.

The results of the semiempirical quantum mechanical calcu-

**Table 4** AM1, PM3 and *ab initio* calculated bond lengths (Å), bond angles (degrees), dihedral angles (degrees) and negative frequency for the transition states of the intra- (IA) and inter-molecular (IE) reactions (see Fig. 5 for the numbering)

	Intramolecular			Intermolec			
	AM1	PM3	HF/3-21G*	AM1	PM3	HF/3-21G*	
N1-C2	1.349	1.364	1.334	1.349	1.364	1.334	
C2-C3	1.405	1.392	1.378	1.406	1.393	1.379	
C3–C4	1.398	1.394	1.385	1.397	1.393	1.384	
C4–C5	1.397	1.393	1.385	1.398	1.394	1.385	
C5-C6	1.406	1.393	1.378	1.405	1.392	1.378	
C6-N1	1.349	1.363	1.334	1.349	1.365	1.335	
C7-N1	2.275	1.962	2.105	2.222	1.933	2.076	
C7-N1-C2	121.09	119.94	120.18	120.39	120.53	119.88	
C7-N1-C2-C3	177.66	177.47	177.92	177.99	178.13	176.44	
C8–C7	1.493	1.530	1.537	1.465	1.508	1.523	
C8-C7-N1	96.47	96.80	94.43	100.98	99.73	96.86	
C8-C7-N1-C2	71.43	81.82	90.83	116.26	92.61	108.51	
C9–C8	1.543	1.527	1.544	1.509	1.514	1.538	
C9-C8-C7	101.14	98.15	97.62	113.78	112.84	112.31	
C9-C8-C7-N1	180.45	180.52	174.65	93.15	91.68	87.12	
N10-C7	2.145	1.882	1.941	2.347	1.962	2.072	
N10-C7-N1	174.39	177.65	175.89	161.56	161.65	166.12	
N10-C7-N1-C2	69.23	71.09	85.92	58.33	84.20	63.37	
$v/cm^{-1}$	-544.09	-719.18	-549.82	-405.09	-738.38	-542.60	

**Table 5** AM1 SCF calculated dependence of the activation energy on the number of the carbon atoms in the alkyl chain of N-aminoalkylpyridinium cations [see also reactions (6) and (7)]

n	$E_{\rm a}$ <sup><i>a</i></sup> /kcal mol <sup>1</sup>	$\Delta E^{b}/\text{kcal mol}^{-1}$
2	49.7	15.1
3	40.6	-5.3
4	38.7	-12.9

<sup>*a*</sup>  $E_a = \Delta_f H(TS) - \Delta_f H(Reactant).$  <sup>*b*</sup>  $\Delta E = \Delta_f H(Product) - \Delta_f H(Reactant).$ 

lations indicate the dependence of the activation energy of reaction on the size of the alkyl chain in the *N*-(aminoalkyl)-pyridinium cation. The compounds with a longer alkyl chain are predicted to have lower activation energies of reaction (Table 5). According to our calculations, the addition of the phenyl substituents to the pyridine ring (**20b**) increases the semiempirically calculated activation energy by approximately 2 kcal mol<sup>-1</sup>. We also note increasing stability of the products over the reactants, which is a consequence of less ring strain in **38**.

The experimentally observed differences in the intramolecular and intermolecular nucleophilic reactivity of compounds can also be caused by probabilistic factors. In the case of the intermolecular reaction between the *N*-alkylpyridinium cation and ammonia [reaction (7)], the reactants must collide to form the reactive complex. This process is of comparatively low probability in the gas phase at low pressure. In the case of the *N*-( $\omega$ -aminopropyl)pyridinium cation [**37**, reaction (6)], the reactive amino group is directly connected to the C9 carbon atom of the propyl group and, therefore, it is always near the reaction center (C7). The rotational barrier of the amino group around the C8–C9 bond is very low (1–2 kcal mol<sup>-1</sup>) and thus the nitrogen (N10) lone pair can easily approach the C7 atom of the propyl group.

Gibbs activation free energy. Transition state theory  $(TST)^{25}$  can be applied to calculate the Gibbs activation free energy for the reactions considered in the present study. According to the TST, the rate constant of a chemical reaction is defined by eqn. (8),<sup>25</sup> where  $\chi$  is the transmission coefficient (usually

$$k_{\rm r} = \chi \frac{k_{\rm B}T}{h} \frac{Q^{\ddagger}}{\Pi_{\rm i} Q_{\rm i}^{\rm R}} e^{-E_{\rm s}/RT}$$
(8)

close to 1),  $k_{\rm B}$  is the Boltzmann constant, *h* is Planck's constant, *T* is temperature (in K),  $Q^{\ddagger}$  is the partition function for the transition state,  $Q_i^{\rm R}$  are the partition functions for the reactants

R, and  $E_a$  is the activation energy of the reaction. The partition function for each species can be expressed as a product of the respective electronic ( $Q_{el}$ ), translational ( $Q_{trans}$ ), rotational ( $Q_{rot}$ ) and vibrational ( $Q_{vib}$ ) partition functions at a given temperature. Each of these components can be calculated by standard statistical mechanics methods.<sup>25</sup>

By combining the definition of the Gibbs activation free energy of the reaction,  $\Delta G^{\ddagger} = -RT \ln K^{\ddagger}$  (*R* is the molar gas constant), and the TST expression for the rate constant (8), eqns. (9) and (10) are obtained for the activation free energy of

$$\Delta G_{\mathrm{IA}}^{\ddagger} = E_{\mathrm{a}}^{\mathrm{IA}} - RT \ln \frac{Q^{\ddagger}}{Q_{\mathrm{IA}}^{\mathrm{A}}} \tag{9}$$

$$\Delta G_{\rm IE}^{\ddagger} = E_{\rm a}^{\rm IE} - RT \ln \frac{Q^{\ddagger} N_{\rm A}}{Q_{\rm IE}^{\rm A} Q_{\rm IE}^{\rm B}} \tag{10}$$

the intra- (IA) and inter-molecular (IE)  $S_N 2$  reaction, respectively.

The Gibbs activation free energies for the intra- and intermolecular nucleophilic substitution reactions [reactions (6) and (7)] using the AM1 and PM3 calculated activation energies and the respective partition functions (Table 6) are given in Table 3. In the case of PM3 parametrizations, the intramolecular nucleophilic substitution reaction is predicted to have lower activation free energy than the respective intermolecular  $S_N 2$ reaction. The AM1 calculated activation free energy shows the opposite direction and that is due to the deficiencies in the AM1 parametrization for the study of the intramolecular interaction.

Therefore, the results of our theoretical modelling (PM3 and *ab initio*) suggest that bimolecular  $S_N 2$  reactions involving positively charged substrates with neutral leaving groups are difficult to induce in the gas phase. Notably, all our attempts to observe such reactions in the gas phase have so far failed. However, the intramolecular nucleophilic displacement with the cyclic transition state has a much lower predicted activation free energy in the gas phase and, therefore, can be observed.

## Conclusions

Bimolecular  $S_N^2$  reactions of positively charged substrates with neutral leaving groups are evidently difficult to induce in the gas phase and all our attempts have failed. However, we have succeeded in demonstrating intramolecular  $S_N^2$  displacement in which cyclic transition states lead to the formation of charged

**Table 6** Different contributions to the calculated activation free energy  $(RT \ln Q \text{ kcal mol}^{-1})$  of the intra- and inter-molecular nucleophilic substitution reaction [reactions (6) and (7)] at 300 K<sup>*a*</sup>

	$RT \ln Q_{vib}$	$RT \ln Q_{\rm rot}$	$RT \ln Q_{\rm trans}$	$RT \ln \Pi Q$				
AM1: Intramolecular reaction (IA)								
TS (‡)	5.5	8.1	37.3	51.0				
Reactant (A)	4.7	8.1	37.3	50.1				
			$RT\ln (Q_{IA}^{\dagger})$	$Q^{A}_{IA} = 0.9$				
AM1: Intermol	ecular reaction	(IE)						
TS (‡)	7.5	8.2	37.3	53.1				
Reactant (A)	3.3	7.8	57.2	48.3				
Reactant (B)	$3 \times 10^{-3}$	2.5	35.5	42.1				
		<i>RT</i> ln	$[Q^{\dagger}_{\mathrm{IE}}/(Q^{\mathrm{A}}_{\mathrm{IE}}Q^{\mathrm{B}})]$	[E] = -37.3				
PM3: Intramole	ecular reaction	(IA)						
TS (‡)	4.3	8.1	37.3	49.7				
Reactant (A)	4.8	8.1	37.3	50.2				
			$RT \ln (Q^{\ddagger}_{IA}/Q)$	$(A_{IA}) = -0.5$				
PM3: Intermole	ecular reaction	(IE)						
TS (‡)	5.2	8.2	37.3	50.7				
Reactant (A)	3.4	7.8	37.2	48.4				
Reactant (B)	$1 \times 10^{-3}$	2.5	35.5	38.0				
		<i>RT</i> ln	$[Q^{\ddagger}_{\mathrm{IE}}/(Q^{\mathrm{A}}_{\mathrm{IE}}Q^{\mathrm{B}}_{\mathrm{IE}})$	$_{\rm IE})] = -35.7$				

<sup>*a*</sup> Partition functions are obtained from semiempirical thermodynamic calculations at optimized single point geometries.

heterocycles. Quantum chemical treatment of these systems using both the PM3 semiempirical and *ab initio* models reflects a substantial preference for intramolecular as compared to intermolecular reactions.

## Experimental

The preparation and characterization of most of the compounds used in this study has been published before by this group.<sup>15,26</sup> Other new pyridinium salts were made following literature methods.<sup>15</sup> N,N-Diethylbutane-1,4-diamine and N,Ndibutylbutane-1,4-diamine were prepared employing a reported method.<sup>27</sup>

# *N*-(Dimethylaminopropyl)-2,4,6-triphenylpyridinium tetrafluoroborate (25)

A solution of *N*,*N*-dimethylpropane-1,3-diamine (1.5 mmol) and triethylamine (1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5 ml) was added to a solution of 2,4,6-triphenylpyrrylium tetrafluoroborate (1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (15 ml) at room temp. The reaction mixture was stirred for 4 h, then 5 drops of AcOH were added and the resulting mixture was stirred overnight. After adding dry Et<sub>2</sub>O (75 ml) to the yellow–red solution, pure yellow solid was obtained after filtration and drying in 86% yield, mp 190–192 °C;  $\delta_{\rm H}$ (CDCl<sub>3</sub>, 300 MHz) 7.70–7.85 (8 H, m), 7.55–7.65 (6 H, m), 7.43–7.54 (3 H, m), 4.42–4.50 (2 H, m), 1.60–1.75 (10 H, m);  $\delta_{\rm C}$ (CDCl<sub>3</sub>, 75 MHz) 156.4, 155.8, 134.1, 132.8, 131.9, 130.9, 129.6, 129.3, 129.0, 128.1, 126.8, 55.9, 53.6, 44.5, 27.4.

### Laser desorption experiments

These experiments were performed in a Finnigan FTMS (formerly Nicolet) FTICR mass spectrometer equipped with an IonSpec data station utilizing a 3T superconducting magnet and a heated inlet system equipped with dual precision leak valves. The vacuum chamber was pumped by a 300 l s<sup>-1</sup> oil diffusion pump maintaining the background pressure of the system below  $1-2 \times 10^{-8}$  Torr when the solids probe was inserted into the vacuum chamber. Ions formed by laser desorption were trapped in a 1.0 cubic inch cell with a trap voltage of 2 V.

For laser desorption experiments, a quench pulse was applied to the trap plates to eject all ions from the cell. Next, a focused  $CO_2$  laser pulse desorbed *N*-benzylpyridinium cations from a dried sample of *ca.* 1 mm thickness on a stainless steel solids probe tip. A Lumonics TE 860 pulsed carbon dioxide laser, operating in the static gas mode, was used to desorb the ions. The laser was focused through a 7.63 cm focal length, 1.27 cm diameter ZeSe lens onto the end of the solids probe tip. The area of laser irradiation was 0.8 mm<sup>2</sup>. The laser was fired with an average energy of 1.0 J per pulse, as measured at the laser exit window. Ten laser pulses were fired at the solids probe tip in its initial position and then it was rotated manually to provide a fresh surface for the next set of pulses. The ions formed were stored in the FTICR analyzer cell for 750 ms or more in the presence of  $5 \times 10^{-7}$  Torr of argon and at least partially thermalized by ion/neutral collisions. Next, a series of ejection sweeps was applied to isolate the molecular ion of interest. Reagent gases were purified by multiple freeze-pump-thaw cycles and leaked into the chamber up to a pressure of 1- $3 \times 10^{-6}$  Torr *via* a variable leak valve. Then a variable delay time allowed the ion-molecule reactions to take place. The ions were excited by the standard frequency chirp excitation method and 64 K time-domain points were acquired during broadband detection (20-400 amu). For each reaction delay time, 10 scans were averaged to enhance the signal to noise ratio.

## **Electrospray experiments**

The experimental setup and the ESI source have been described in the preceding paper<sup>28</sup> and in detail previously.<sup>29</sup>

The samples were dissolved in a 50:50 water–methanol solution at a concentration of 0.1 mg ml<sup>-1</sup> and were introduced into the ESI source at a flow rate of  $1\mu$ l min<sup>-1</sup>.

#### Collisionally activated dissociation

The experimental procedure CAD first utilized a 100 ms quench pulse to remove any ions remaining in the cell from a previous experiment. After the quench pulse, an ion accumulation period of 5000 µs duration followed. During the ion accumulation, the electrostatic optics were pulsed to allow accumulation of ions in the analyzer cell. The precursor pyridinium cation was isolated using swept-frequency ejection pulses of 200 ms duration to eject all other ions. Argon was introduced via a leak valve to a background pressure of  $2 \times 10^{-7}$  Torr. The precursor ions were excited using a variable amplitude on-resonance excitation pulse of 10 µs duration. A 6.5–19 V range of RF amplitudes was used to study the energy dependence of the CAD process. Following the excitation of the precursor ion, a 0.25 s delay was introduced to allow for collisions to occur resulting in fragmentation of the ion. Calculation of the threshold energies has been described elsewhere.9a

#### **Deuterium labeling experiments**

The hydroxy deuterated analog **28** of salt **14** was formed by dissolving 0.5 mg of salt **14** in 2.5 ml D<sub>2</sub>O with stirring for 2 h. Following the deuterium transfer, the compound was immediately electrosprayed from this solution in order to minimize deuterium scrambling. Mass spectral analysis showed that 73% of the parent contained the deuterium label. The parent ion **28** was isolated using swept-frequency ejection pulses; however, to minimize inadvertent RF-heating of the labeled compound the protic impurity was not ejected prior to the reaction delay. A slight increase in the protic impurity of the product (33%) may be attributed either to deuterium scrambling during the reaction delay or to uneven RF excitation during the detection process.

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